



#3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Tiemann, et al.

Title: METHOD, SYSTEM, AND SOFTWARE FOR TRANSMISSION OF  
INFORMATION

App. No.: 09/826,552

Filed: 04-04-2001

Examiner:

Group Art Unit: 2152

Atty. Dkt. No. PRGY.0102270

Attention: Box Missing Parts  
Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

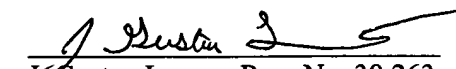
In response to the Notice to File Missing Parts of Application mailed May 9, 2001, please find enclosed  
herewith:

- ☒ a Transmittal Form;
- ☒ a copy of the Notice to File Missing Parts of Application;
- ☒ a Fee Transmittal Form;
- ☒ a combined declaration and power of attorney executed on behalf of Prodigy Communications Limited Partnership;
- ☒ an Assignment to Prodigy Communications Limited Partnership with Recordation Form Cover Sheet;
- ☒ a Request for Corrected Filing Receipt; and
- ☒ a return postcard.

Respectfully submitted,

6-11-01

Date

  
J. Gustav Larson, Reg. No. 39,263  
Attorney for Applicant(s)  
Simon, Galasso & Frantz, PLC  
P.O. Box 26503  
Austin, Texas 78755-0503  
(512) 336-8597 (phone)  
(512) 336-9155 (fax)



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)  
Total Number of Pages in this Submission 11

Application Number: 09/826,552  
Filing Date: 04-04-2001  
First Named Inventor: Tiemann, et al.  
Group Art Unit: 2152  
Examiner: Unknown  
Attorney Docket No.: PRGY.0102270

*Section 4*

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Response
- ☐ After Final
- ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☒ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund
- ☐ After Allowance Communication to Group

- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Request for Corrected Filing Receipt
- ☐ Letter to Draftsperson
- ☐ Formal Drawings (after initial filing)
- ☐ Issue Fee Transmittal
- ☐ Request for Corrected Assignment
- ☐ Response to Notice to File Corrected Application Papers
- ☐ Additional Enclosure(s) (please identify below):

Remarks:

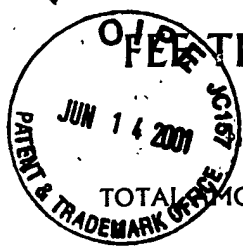
Firm Name	Simon, Galasso & Frantz, PLC P.O. Box 26503 Austin, Texas 78755-0503	
Signature of Applicant, Attorney, or Agent		
Name and Registration No.:	J. Gustav Larson, Reg. No. 39,263	Date: 6-11-01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on 06-11-01.

Terri Alloway  
Typed or Printed Name

Signature



# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 2,152.00

Complete if Known

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge  
Indicated fees and credit any over payments to:

Deposit Account Number	50-1259
Deposit Account Name	Simon, Galasso & Frantz, PLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

### 2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 64	(-20 =) 44	18.00	792.00
Indep. 9	(-3 =) 6	80.00	480.00
Multiple Dep.			

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 1,272.00

Application Number	09/826,552
Filing Date	04-04-2001
First Named Inventor	Tiemann, et al.
Group Art Unit	2152
Examiner Name	
Attorney Docket Number	PRGY.0102270

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*		113	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	645	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	1550	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to Institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)					
Other fee (specify)					

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170.00

SUBMITTED BY: Simon, Galasso & Frantz, PLC.

Complete (if applicable)

Typed or Printed Name

J. Gustav Larson, Reg. No. 39,263

Signature

Date

6-11-01

Deposit Account User ID



#7

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Tiemann, et al.

Title: METHOD, SYSTEM, AND SOFTWARE FOR TRANSMISSION  
OF INFORMATION

App. No.: 09/826,552

Filed: 04-04-2001

Examiner: Unknown

Group Art Unit: 2152

Atty. Dkt. No. PRGY.0102270

Application Processing Division  
Customer Correction Branch  
Assistant Secretary in Connection with  
Patents and Trademarks  
U.S. Patent & Trademark Office  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

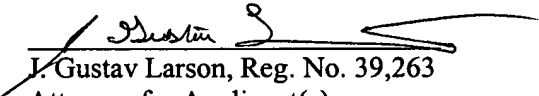
Dear Sir:

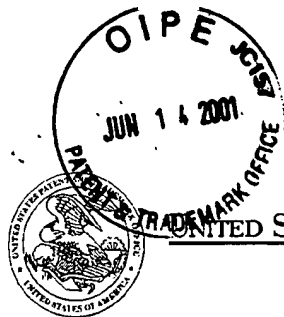
Please make the indicated corrections as shown on the enclosed Filing Receipt. Please insert the Attorney Docket Number of "**PRGY.0102270**" on the filing receipt. Please contact me at the below-listed telephone number if you have any questions or need additional information.

Respectfully submitted,

Date

6-11-01

  
J. Gustav Larson, Reg. No. 39,263  
Attorney for Applicant(s)  
Simon, Galasso & Frantz, PLC  
P.O. Box 26503  
Austin, Texas 78755-0503  
(512) 336-8957 (phone)  
(512) 336-9155 (fax)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,552	04/04/2001	Duane E. Tiemann	

CONFIRMATION NO. 5271

## FORMALITIES LETTER



\*OC000000006057151\*

SIMON, GALASSO & FRANTZ PLC.  
P.O. Box 26503  
Austin, TX 78755-0503

Date Mailed: 05/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/16/2001 SHINASS1 00000000 501259 09826552  
01 FC:102 480.00 CH

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1272.
  - \$792 for 44 total claims over 20.
  - \$480 for 6 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2112.

A copy of this notice **MUST** be returned with the reply.

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Void Date: 06/18/2001 SHINASS1  
06/18/2001 SHINASS1 00000000 501259 09826552  
01 FC:102 480.00 CH

06/15/2001	SDENB0B1	00000032	501259	09826552
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04 FC:103		684.00	CH	
06/18/2001	SHINASS1	00000077	501259	09826552
01 FC:103		100.00	CH	

